



Comply-YES!™

Redefining Compliance One YES at a Time!



PROACTIVE PREPARATION | **CONTINUOUS COMPLIANCE** | **CUSTOMIZED SOLUTIONS**

Providing Credit Unions with first-rate compliance solutions

FEATURES & BENEFITS

- Cost savings with outsourced solutions
- Tailored to your goals/objectives
- Reduce time spent on talent acquisition
- Top quality reviews, audits & assessments
- Fewer exam findings
- Reduced legal fees
- Fast and efficient helpline support
- Relevant and timely industry updates
- Compliance resource library

We're your **go-to authority on credit union compliance**, backed by decades of experience and a team of seasoned experts.

We offer **tailored solutions, top-tier audit and review services, exceptional consulting and helpline support, plus ongoing training** to keep your team sharp and confident.



We knew we needed to update our policies and procedures, but we didn't have a team with the expertise to do this. Comply-YES! helped us revamp all our policies and procedures and our first Regulatory Exam after partnering with them went incredibly well in large part due to the services they provided! They know compliance, are EASY to work with, and are passionate about Credit Unions.

April Tompkins, CEO
Explorers Federal Credit Union



Working with Comply-YES! has been a true game changer ...Having them on our side for compliance projects provides immense relief for me and my team. I no longer worry about compliance "what if" situations, knowing that we are in a much stronger position now and in the future thanks to the exceptional support of Comply-YES!.

Jeffrey Kusler, CEO
Journey Federal Credit Union



Working with Comply-YES! was an "easy button" for us to tackle complex policy changes without using time and resources researching and revising on our own. Comply-YES is now a partner we budget for and meet with quarterly to keep up with the ever-changing financial regulatory landscape.

Devin Neu, AVP of Consumer Lending,
Community Choice Credit Union



ALL-INCLUSIVE SERVICES

Comply-YES! offers cost-savings with outsourced solutions, performing the compliance services for credit unions so they can focus on what matters most: serving their members.

Services include but are not limited to:

- Helpline and consulting
- Marketing material review
- Policies and procedures review
- Compliance management system
- Exam preparation and remediation
- Analysis of new and amended rules
- Compliance related training
- Forms and disclosures
- Complaint management
- Board oversight
- Strategic planning

We offer flexible contract terms with no long-term commitment, providing the most options for your compliance needs.

Our team holds Credit Union Compliance Expert (CUCE) certifications, which is **the hallmark of credit union professional development** and teaches compliance professionals how to understand regulations and their implications.

In addition, our specialists are also **CCUE, BSACS, CBAP, CCBCO and CUBDP certified** and have decades of industry experience, that bring valuable skills and expertise to your compliance program.

AUDIT & REVIEW SERVICES

Our audits go **beyond the checkbox approach**. We help you identify and resolve potential exam findings **before your examiners walk through the door**, giving you the confidence and clarity you need.

Our **audit and review specialties** include, but aren't limited to:

Essential Audits

- SAFE ACT
- ACH
- BSA
- Website/Marketing

Lending Audits

- Fair Lending
- TIL
- RESPA
- SCRA

Other

- AML/OFAC
- Verafin Validation
- By-Laws

IT Audits

- NIST
- PCI DSS
- ACET

Deposit Audits

- REG CC
- REG E
- TISA
- E-SIGN/RDC

- Risk Assessments
- MRB
- Policies & Procedures



Whether your organization is looking for a **full suite of compliance services, audit assistance, or an outside expert on a specific issue**, our expert team at Comply-YES! has the comprehensive experience to provide solution-oriented strategies and guidance on regulatory requirements.

Let's get started **together**.

To learn more or to request a quote, contact us:

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 comply-yes.com  [linkedin.com/company/comply-yes](https://www.linkedin.com/company/comply-yes)



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